

# **FATCA Metadata**

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## Introduction

FATCA Metadata is a simple Windows form app that allows users to create correctly formatted XML files that can be used as part of annual FATCA submissions.

The XML generated by FATCA Metadata conforms to the v1.1 standard, documented in Publication 5188<sup>1</sup>.

## Usage Instructions

FATCA Entity Sender ID

### FATCA Entity Sender ID

Use this field to specify the Global Intermediary Identifier Number (GIIN) of the sending financial institution. The application will check to ensure that the GIIN has been entered in the correct format.

FATCA Entity Receiver ID

### FATCA Entity Receiver ID

Use this field to specify the Global Intermediary Identifier Number (GIIN) of the receiving tax authority. The application will check to ensure that the GIIN has been entered in the correct format.

Communication Type

### Communication Type

Use this field to specify whether the type of communication that is being sent. Available options:

- Report
- Notification

Tax Year

### Tax Year

Use this field to specify the tax year that is being reported. The system will validate to ensure that the year selected is not the current or future years.

File Revision

### File Revision

Use this field to specify whether the report is a new report or an update to a previous report. Available options:

- New
- Update

The system will validate to ensure that revision option has been selected.

Original Transmission ID

### Original Transmission ID

If the File Revision is set to *Update*, use this field to specify the original transmission ID. If File Revision is set to *New*, this field is disabled and a unique transmission ID is provided by the application. (See Special Considerations.)

Sender Email Address

### Sender Email Address

Use this field to specify the contact email address of the sender. This field is optional.

<sup>1</sup> <http://www.irs.gov/pub/irs-pdf/p5188.pdf>

# Considerations

## Original Transmission ID Format

When the app provides an original transmission identifier, it is generated using built in .NET cryptographic services. The identifier is 32 characters long.

## Save Location

The generated XML file is saved to the following path: ~\My Documents\FATCA\Metadata\[Current Date]\[Sender GIIN]\_Metadata.xml

## Example Output

```
<?xml version="1.0" encoding="UTF-8"?>
<!--FATCA Report for 07873X.00001.SL.038-->
- <FATCAIDESSenderFileMetadata xmlns="urn:fatca:idesenderfilemetadata" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <FATCAEntitySenderId>07873X.00001.SL.038</FATCAEntitySenderId>
  <FATCAEntityReceiverId>000000.00000.TA.840</FATCAEntityReceiverId>
  <FATCAEntCommunicationTypeCd>RPT</FATCAEntCommunicationTypeCd>
  <SenderFileId>07873X.00001.SL.038_Payload.xml</SenderFileId>
  <FileFormatCd>XML</FileFormatCd>
  <BinaryEncodingSchemeCd>NONE</BinaryEncodingSchemeCd>
  <FileCreateTs>2015-08-22T11:37:34.003+08:00</FileCreateTs>
  <TaxYear>2014</TaxYear>
  <FileRevisionInd>false</FileRevisionInd>
  <OriginalIDESTransmissionId>3N9FN0ZOTEAH6DNMLIBMAE93CXYLQTV3</OriginalIDESTransmissionId>
  <SenderContactEmailAddressTxt>admin@exampleinstitution.com</SenderContactEmailAddressTxt>
</FATCAIDESSenderFileMetadata>
```